

2131 2766

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b).or 1.97(c))

Docket No.  
**CCF-001PAT**

In Re: Application of:  
**Neil R. Fraser**

#8  
1-14-03  
Jm

Serial No.	Filing Date	Examiner	Group Art Unit
09/590,329	June 8, 2000		2766

Title: **METHOD AND SYSTEM FOR SECURELY DISPLAYING AND CONFIRMING REQUEST TO PERFORM OPERATION ON HOST COMPUTER**

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**JAN 06 2003**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Technology Center 2100

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
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Neil R. Fraser

Serial No.  
09/590,329

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June 8, 2000

Examiner

Group Art Unit  
2766

**METHOD AND SYSTEM FOR SECURELY DISPLAYING AND CONFIRMING REQUEST TO  
PERFORM OPERATION ON HOST COMPUTER**

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**Payment of Fee**

Technology Center 2100

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

\_\_\_\_\_  
(Date)

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Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited December 30, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Eric W. Gutttag*

\_\_\_\_\_  
Signature of Person Mailing Correspondence

Eric W. Gutttag

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

*Eric W. Gutttag*  
\_\_\_\_\_  
Signature

Dated: December 30, 2002

Eric W. Gutttag  
Attorney for Applicant  
Registration No. 28,853  
(513)-229-0383  
Customer No. 26868

CC:



Serial No. 09/590,329  
Attorney Docket No. CCF-001PAT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of :  
Neil R. Fraser :  
Serial No.: 09/590,329 : Group: 2766  
Filed: June 8, 2000 : Examiner  
METHOD AND SYSTEM FOR SECURELY :  
DISPLAYING AND CONFIRMING :  
REQUEST TO PERFORM OPERATION ON :  
HOST COMPUTER :

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JAN 06 2003

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**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 CFR 1.56, 1.97 AND 1.98 (WITHIN 3 MONTHS OF FILING OR**  
**PRIOR TO FIRST OFFICE ACTION)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

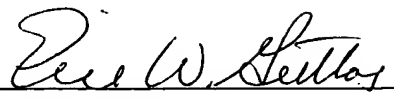
In accordance with 37 CFR 1.56, 1.97 and 1.98, Applicant requests the Examiner to make of record the documents listed on the attached PTO-1449 form. Copies of the listed documents are also enclosed. In accordance with MPEP 609, the Examiner is requested to initial each document listed on the attached PTO-1449 forms in the space provided, make a copy of the initialed forms, and return an initialed copy to Applicant's attorney. This paper and the enclosed documents are being submitted in accordance with 37 CFR 1.97(b), i.e., within three (3) months of the filing dated of the above-identified application or prior to a first Office Action on the merits.

The documents listed on the attached PTO-1449 form for which copies are now provided came to Applicant's attorney's attention as a result of a Corrected International Search Report (copy enclosed) for the PCT application corresponding to the above application. U.S. Patent 6,092,202 listed on the attached PTO-1449 form is indicated in this Search report as being in the same patent family as PCT Document that is also listed on the attached PTO-1449 form.



Serial No. 09/590,329  
Attorney Docket No. CCF-001PAT

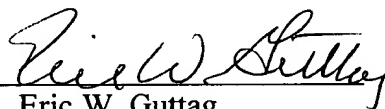
Respectfully submitted,  
For: Neil R. Fraser

By   
Eric W. Gutttag  
Attorney for Applicant  
Registration No. 28,853  
(513) 229-0383  
Customer No. 26868

December 30, 2002  
Mason, Ohio

**CERTIFICATE OF MAILING PURSUANT TO 37 CFR 1.8**

I hereby certify that this correspondence is being deposited on December 30, 2002 with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Eric W. Gutttag  
Registration No. 28,853